



# Determining the Suitability of Two Different Statistical Techniques in Shallow Landslide (Debris Flow) Initiation Susceptibility Assessment in the Western Ghats

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In the present study, the Information Value (InfoVal) and the Multiple Logistic Regression (MLR) methods based on bivariate and multivariate statistical analysis have been applied for shallow landslide initiation susceptibility assessment in a selected subwatershed in the Western Ghats, Kerala, India, to determine the suitability of geographical information systems (GIS) assisted statistical landslide susceptibility assessment methods in the data constrained regions. The different landslide conditioning terrain variables considered in the analysis are geomorphology, land use/land cover, soil thickness, slope, aspect, relative relief, plan curvature, profile curvature, drainage density, the distance from drainages, lineament density and distance from lineaments. Landslide Susceptibility Index (LSI) maps were produced by integrating the weighted themes and divided into five landslide susceptibility zones (LSZ) by correlating the LSI with general terrain conditions. The predictive performances of the models were evaluated through success and prediction rate curves. The area under success rate curves (AUC) for InfoVal and MLR generated susceptibility maps shows 84.11% and 68.65%, respectively. The prediction rate curves show good to moderate correlation between the distribution of the validation group of landslides and LSZ maps with AUC values of 0.648 and 0.826 respectively for MLR and InfoVal produced LSZ maps. Considering the best fit and suitability of the models in the study area by quantitative prediction accuracy, LSZ map produced by the InfoVal technique shows higher accuracy, i.e. 82.60%, than the MLR model and is more realistic while compared in the field and is considered as the best suited model for the assessment of landslide susceptibility in areas similar to the study area. The LSZ map produced for the area can be utilised for regional planning and assessment process, by incorporating the generalised rainfall conditions in the area.

Keywords: *Western Ghats, shallow landslide, information value, multiple logistic regression, susceptibility assessment.*

## 1 Introduction

Landslides are considered as the most disastrous hydro-geological phenomena which frequently occur in association with extreme climatic and geologic

events. Most of the mountainous terrains in tropical and subtropical environments are characterised by one or another type of mass movements. Assessment of

landslide susceptibility zones enables the society to develop proper planning in the developmental activities. The research of landslides and landslide prone areas has focused on the risks and hazards related to them, their consequences and the factors that govern their occurrence (Pourghasemi et al., 2013). In developing countries, the landslide susceptibility assessment is the only possibility of overcoming the consequences, risks and hazards associated with this problem. Landslide hazard assessment can be a vital tool to understand the basic characteristic of the terrain that is prone to failure and was first introduced by Varnes (1984) as landslide hazard zonation to quantitatively measure the landslide hazard. The term *susceptibility* is commonly used to identify the location of potential landslides in a given region based on a set of terrain characteristics (Carrara, 1983; Zezere, 2002; Dahal et al., 2008; Ercanoglu and Temiz, 2011; Yilmaz et al., 2012). The probability of spatial occurrence of future landslides is reflected in a terrain failure susceptibility map, which indicates the potential starting zones. The rapid development of spatial information technologies, especially the availability of high resolution remote sensing images, digital elevation models and powerful application of geographical information systems (GIS) together made great advancement in mapping and assessment of landslide susceptibility of an area using different methods (Carrara et al., 1991; Brenning, 2005; van Westen et al., 2006; Akbar and Ha, 2011; Akgun et al., 2012; Althuwaynee et al., 2010; Pourghasemi et al., 2013; Ozdemir and Altural, 2013). The process of GIS-aided landslide susceptibility mapping at present involves several methods that can be considered as either qualitative or quantitative. Qualitative methods depend on expert opinions, and are often useful for regional assessments (Aleotti and Chowdhury, 1999; van Westen et al., 2003). To remove subjectivity in qualitative analysis, various statistical methods have been used in LSZ studies. The aim of these methods is to identify areas that are susceptible to future landsliding, based on the knowledge of past landslide events, geological attributes, terrain parameters and other environmental conditions that are associated with the presence or absence of such phenomena. Comparison of various methods used for assessing landslide susceptibility of a terrain can be found at Aleotti and Chowdhury (1999), Guzzetti et al. (1999), van Westen (2000) and Huabin et al. (2005).

Landslides affect large parts of the hilly terrain in India, especially, the Himalayas, the Western Ghats, the Eastern Ghats and the Vindhyan (Nagarajan et al., 1998; Prasannakumar and Vijith, 2012). Deforestation and anthropogenic activities, together with the non-sustainable developmental projects and destructive practices, have recently increased the frequency of landslides and mass wasting in the Himalayas and the Western Ghats regions, necessitating predictive and mitigative measures. Number of studies have been reported from various parts of India, using different techniques and approaches, related to the landslide risk reduction by

systematic mapping and scientific analysis of landslide susceptible areas (Thampi et al., 1998; Arora et al., 2004; Sarkar and Kanungo, 2004; Saha et al., 2005; Pandey et al., 2007; Vijith and Madhu, 2008; Mathew et al., 2009; Das et al., 2010; Kundu et al., 2013; Kannan et al., 2014; Vijith et al., 2014). Though number of studies have been conducted to identify and map landslide susceptibility zones in these regions, no studies have commented on the suitability of a method and approach, which have been replicated for other regions where similar geo-environmental conditions exist. The present study aims to assess the usability of GIS assisted statistical (bivariate and multivariate) landslide susceptibility models in a data deficient hilly terrain in the Western Ghats of Kerala, India, which witnesses severe land degradation due to landslides (debris flows) during the monsoon periods. Landslides in the Western Ghats, which comes second to the Himalayan region, can be categorised as a monsoon related phenomenon. The trigger for the landslides in the Western Ghats was at the period of heavy rainfall, and as there had been little effort to assess or predict the events, damage was extensive (Nagarajan et al., 1998; Thampi et al., 1998; Vijith et al., 2007; Kuriakose et al., 2009; Prasannakumar and Vijith, 2012). The analysis techniques which are used for the preparation of landslide susceptibility zonation maps are (a) information value (InfoVal) and (b) multiple logistic regression (MLR). The application of these methods can be found at Carrara (1983), van Westen (1997), Wu et al. (2000), Zezere (2002), Ayalew and Yamagishi (2005), Saha et al. (2005), Duman et al. (2006), Gorsevski et al. (2006), Vijith et al. (2007), Bhai et al. (2010, 2011), Nandi and Shakoor (2010), Akgun (2012), Devkota et al. (2013).

## 2 Study area

The study area lies between latitude  $9^{\circ} 38' 28''$  and  $9^{\circ} 48' 25''$  and longitude  $76^{\circ} 55' 53''$  and  $77^{\circ} 43' 31''$  on the western slopes of the Western Ghats, the upland catchment of the River Meenachil, covering a total area of 154.99 km<sup>2</sup> (Fig. 1). The areas are highly undulating; maximum elevation of the terrain exceeds 1,180 m above sea level (asl), which occupies portions of the Peermade plateau in Kerala. Geologically the area is made up of hard crystalline rocks, in which charnockite occupies 93% of the total area followed by biotite gneiss, dolerite, pink/gray granite and quartzite. The major geomorphic features present in the area are plateau, side slope plateau, denudational hills and denudational slope, which shows varying terrain inclinations from plain area to nearly vertical areas with slope, exceeds more than  $60^{\circ}$  with a general west slope. The debris flows which occurred in the study area show a climatic signal: monsoon (June–November) rainfall induced debris flows from the steep slopes of the mountain ranges with an elevation range  $> 250$  m occur every year after continuous rainfall of 24 h, which exceeds 250 mm/day. During the phenomena, loose,

unconsolidated soil and earth material that rest on the rugged hills having steep, long side slopes move down the slope, which destroy all the things on the path (Fig. 2).

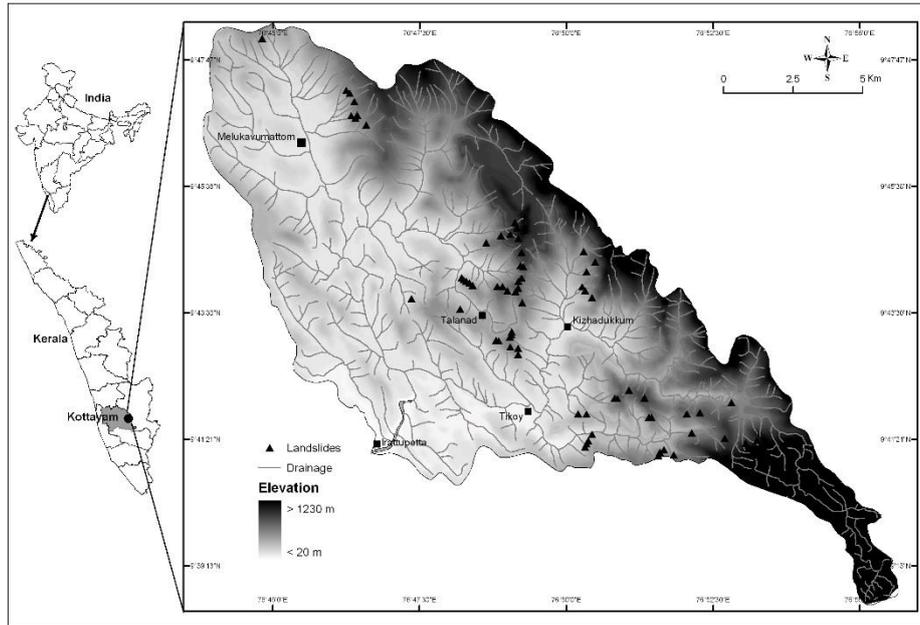


Figure 1. Study area location map.



Figure 2. Image showing different landslide occurrences in the study area.

### 3 Methodology

#### 3.1 Generation of terrain conditioning geo-environmental variables

The susceptibility mapping was performed using previous landslide locations as the crucial dependent variable and different thematic layers as independent variables. The geo-environmental variables comprising several themes used in the landslide susceptibility assessment as independent variables were derived from topographical maps, interpretation of remote sensing data (IRS P6 LISS III), geological map produced by the Geological Survey of India and detailed field surveys on a scale of 1:50,000. The entire study area covering 154.99 km<sup>2</sup> was converted

into a raster dataset having 387,460 pixels with a resolution of 20 m × 20 m. The most crucial theme that represents former landslides was collected by detailed field survey and located using a global positioning system (GPS). The previous landslide locations are a prerequisite to perform statistical analysis in GIS for assessing the relationship between the landslides and influencing parameters (Guzzetti et al., 1999; Duman et al., 2006; Vijith et al., 2007; Prasannakumar and Vijith, 2012). A total of 80 landslide points were located from the field and by applying a random partition technique 54 landslides were chosen for the preparation of landslide susceptibility zonation maps, and 26 were kept for assessing the predictive capacity of the landslide susceptibility maps produced. The thematic data

layers prepared include geomorphology, land use/land cover, soil thickness, slope, aspect, relative relief, plan and profile curvatures, drainage density, distance from drainages, lineament density and distance from lineaments and are described below.

Geomorphology, which exhibit the surface forms and features present in the terrain, will provide vital information about its susceptibility to different denudational processes (Thampi et al., 1998; Guinau et al., 2005). The geomorphological feature identified includes plateau, side slope plateau, denudational hill, escarpment, denudational slope, valley fill, residual mounds, pediment and water body (Fig. 3a). Lithology of the area is found to be monolithic in nature, and more than 93% of the area is covered by charnockite of the Precambrian age with minor variations and less weathering. Lithology was not considered in the present analysis because most of the landslide activity in the area is shallow and, unlike in the Himalayan region, the basement lithology was not involved in the landslide process. Land use/land cover of the area represents a general vegetation pattern

based on the terrain characteristics of the area, and the role of land use/land cover in conditioning the terrain for landsliding was studied by several researchers and is reported in various scientific papers (Saha et al., 2005; Dahal et al., 2008; Akgun, 2012; Pourghasemi, et al. 2013). Nine categories of land use/land cover types were identified in the study area, and they are rocky outcrops, grasslands, bushes and shrubs, tea plantations, rubber plantation, crop land, cleared/barren area, built-up-land and water body (Figure 3b). In a mountainous terrain, the development of soil will be very fast due to denudation activities; and most of the soil was transported to downstream areas due to natural processes like soil erosion and landslides. The spatial distribution of soil thickness was assessed by detailed field survey and found to be varying from 35 cm to 4.29 m (Figure 3c), in which the maximum thickness was found in the western boundary of the study area with gently sloping terrain.

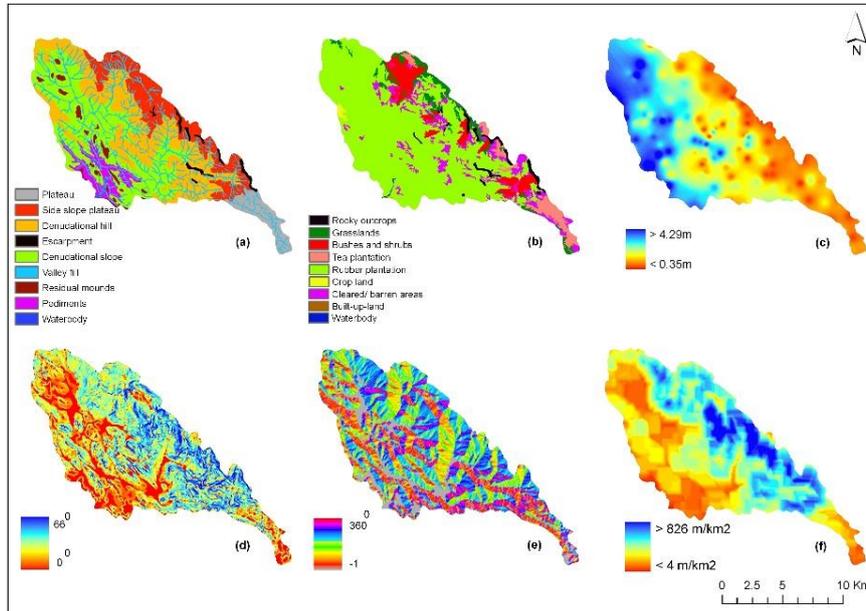


Figure 3. Terrain conditioning factors used in the analysis: (a) geomorphology; (b) land use/land cover; (c) soil thickness; (d) slope; (e) aspect; (f) relative relief.

The most crucial layer in all the landslide susceptibility assessment and modelling is the slope of the terrain because landslides in any terrain are directly controlled by the slope of a particular place (Zezere, 2002; Guinau et al., 2005; Nefeslioglu et al., 2008; Akgun et al., 2012; Yilmaz et al., 2012; Devkota et al., 2013). Surface contours of 20 m interval digitised from the Survey of India topographic map were used to generate the elevation surface of the study area from which the important terrain variables such as slope, aspect, terrain curvatures and relative relief were derived. The generated slope map (Fig. 3d) shows a range of values between 0–66°, with a mean slope of 17°. The aspect map indicates the direction of maximum slope of the terrain surface, which along with the slope angle makes the terrain influenced by precipitation,

exposition to sunlight, etc. (Gokceoglu and Aksoy, 1996; Saha et al., 2005; Akgun et al., 2012). The aspect map of the area was divided into nine classes, namely, Flat, N, NE, E, SE, S, SW, W and NW (Fig. 3e). The slope curvatures are an important variable that controls the superficial and subsurface hydrological regime of the slope, erosion and deposition rate, and soil characteristics (Yesilnacar and Topal, 2005; Gorsevski et al., 2006). The plan and profile slope curvatures (Fig. 4g and Fig. 4h) are divided into three classes, namely concave, flat and convex slopes as represented by negative, zero and positive values. Relative relief of the terrain indicates the changes in elevation in the unit area, and the generated relative relief (Fig. 3f) of the terrain varies from 4 m/km<sup>2</sup> to 826.49 m/km<sup>2</sup>, with a mean and standard deviation of 279.02 m/km<sup>2</sup> and

152.39 m/km<sup>2</sup>, respectively. Drainage density gives an indirect measure of runoff conditions and terrain dissection, which has a great significance in conditioning the terrain for the landslide process (Sarkar and Kanungo, 2004; Saha et al., 2005; Yesilnacar and Topal, 2005; Vijith et al., 2007), and the drainage density calculated for the study area shows variation in length of drainages in unit area ranging from 211 m/km<sup>2</sup> to 4,343 m/km<sup>2</sup> (Fig. 4i).

The distance from drainages (Fig. 4j) calculated in the study area ranges from 0 to 752 m, which indicates well developed, closely spaced drainage networks in mountainous area. The lineaments represent the plane of weakness on the surface, where the strength of slope material has been reduced, eventually resulting in slope failure. In the present study, lineament density and distance from lineaments were prepared and are shown in Figure 4k and Figure 4l.

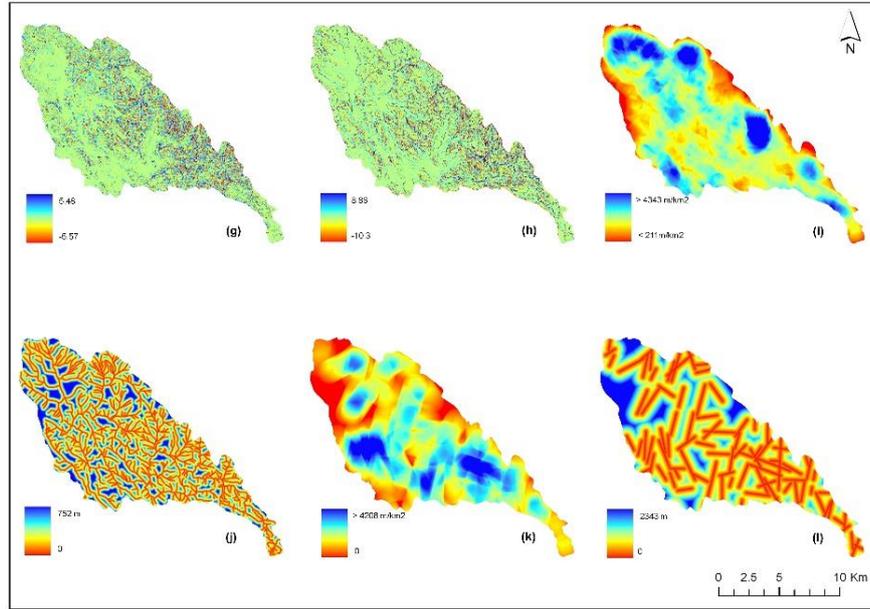


Figure 4. Thematic data layers: (g) plan curvature; (h) profile curvature; (i) drainage density; (j) distance from drainages; (k) lineament density; (l) distance from lineaments.

### 3.2 Landslide susceptibility assessment techniques

#### 3.2.1 Information Value (InfoVal)

The landslide susceptibility assessment was fulfilled using a data-driven approach: the Information Value Method (van Westen, 1997; Wu et al., 2000; Zezere, 2002). The Information Value Method (InfoVal) is a simple indirect statistical approach that has the advantage of assessing landslide susceptibility in an unbiased way. The method allows the quantified prediction of susceptibility by means of a score, even on terrain units that are not yet affected by landslide occurrence. Each instability factor is crossed with the landslide distribution, and weighting values based on landslide densities are computed for each parameter class, as it happens with all bivariate statistical methods. The method implies a prior definition of terrain units and the selection of a set of instability factors. In this method, the information value for each parameter class is determined by the following equation  $W_i$ :

$$W_i = \log \left( \frac{\text{Densclass}_i}{\text{Densmap}} \right) = \log \left[ \frac{N_{pix}(S_i) / N_{pix}(N_i)}{\left( \sum_{i=1}^n N_{pix}(S_i) / \sum_{i=1}^n N_{pix}(N_i) \right)} \right] \quad (1)$$

where  $W_i$  is the weight for the  $i^{\text{th}}$  class of a particular thematic map (i.e. plateau or side-slope plateau, or escarpment in the thematic map ‘Geomorphology’),  $\text{Densclass}_i$  is the failure density in the factor class,  $\text{Densmap}$  is the failure density within the whole study area,  $N_{pix}(S_i)$  is the number of failed pixels in the  $i^{\text{th}}$  factor class,  $N_{pix}(N_i)$  is the number of pixels in the  $i^{\text{th}}$  factor class, and  $n$  is the number of classes in the thematic map.

#### 3.2.2 Multiple Logistic Regression (MLR)

Logistic regression involves a multivariate regression between a dependent variable and several independent variables (Atkinson and Massari, 1998; Hosmer and Lemeshow, 2000). Logistic regression is worthwhile to predict the presence or absence of a characteristic or outcome based on the values of predictor variables. In the case of landslide susceptibility mapping, the purpose of logistic regression is to find the best-fitting model to describe the relationship between the presence or absence of a landslide (the dependent variable) and a set of independent parameters (Bhai et al., 2010, 2011; Nandi and Shakoor, 2010; Akgun, 2012; Devkota et al., 2013). A binary dependent variable was used to represent the presence or absence of a landslide. Coefficients determined in the logistic regression can be used to estimate ratios for each of the independent variables. The logistic model representing the

maximum likelihood regression model can be expressed in its simplest form as

$$P = \frac{1}{1 + e^{-z}} \tag{2}$$

where  $P$  is the estimated probability of an event occurring. Because  $Z$  (linear logistic model) can vary from  $-\infty$  to  $+\infty$ , the probability varies from 0 to 1 as an S-shaped curve. Parameter  $Z$  is defined as

$$Z = B_0 + B_1 X_1 + B_2 X_2 + \dots + B_n X_n \tag{3}$$

where  $B_0$  is the intercept and  $n$  is the number of independent variables,  $B_i$  ( $i = 0, 1, 2, \dots, n$ ) is the slope coefficient, and  $X_i$  ( $i = 0, 1, 2, \dots, n$ ) is the independent variable. Based on equation (2) and equation (3), the logistic regression can be written in the following extended form:

$$\text{Logit}(P) = \frac{1}{1 + e^{B_0 + B_1 X_1 + B_2 X_2 + \dots + B_n X_n}} \tag{4}$$

#### 4 Analysis of the role of terrain conditioning factors

In order to assess the contribution of each geo-environmental variables in conditioning the terrain failure, landslide initiation locations kept for the LSZ map preparation were crossed with each variable, and the analysis was done in bivariate and multivariate

statistical methods. Unlike the developed regions, the study area lacks detailed database of terrain features and historic landslide details. In bivariate analysis, InfoVal method and in multivariate analysis, multiple logistic regression (MLR) modelling techniques were used to assess the role of each terrain conditioning factor and also to identify the suitability of the landslide susceptibility assessment methodology in terrains similar to the study area. The analysis was initiated with converting all the data layers into raster format and also the continuous variables to discrete variables by the expert classification criteria to use in the InfoVal analysis technique. In the bivariate statistical analysis, all the independent variables used are either classified into different classes or into two classes for analysing each class's role in making the area susceptible to landslide. Using equation (1), weights of individual feature class were calculated by the number of landslide pixels falling in each class of the thematic data layers. In MLR, using the statistical software SPSS (version 11.5), previous landslide locations are kept as the dependent variable (presence and absence) to execute the calculations. The calculated weights for individual feature classes are presented in Table 1, and in each case, the higher the derived weight values of predictive parameters classes are, the more contribution is attributed towards the making the terrain susceptible to landslides. This criterion is common to weights derived through InfoVal and MLR techniques. Hence, weight values of each parameter classes, which indicate the role of each feature, are detailed below.

Table 1. InfoVal weights and logistic regression coefficients of the terrain conditioning factors

	Feature / Class	Landslide (Pixel)	Area (Pixel)	InfoVal weight (ln)	MLR weight (β)
<b>Geomorphology</b>	Plateau	0	18,458	-3.0*	-11.237
	Side-slope plateau	14	60,303	0.512	5.510
	Denudational hill	32	103,737	0.797	8.094
	Escarpment	0	67,640	-3.0*	0 <sup>#</sup>
	Denudational slope	2	107,278	-2.0	6.649
	Valley fill	6	64,408	-0.400	0 <sup>#</sup>
	Residual mounds	0	8,781	-3.0*	0 <sup>#</sup>
	Pediment	0	17,338	-3.0*	0 <sup>#</sup>
<b>Land use</b>	Water body	0	393	-3.0*	0 <sup>#</sup>
	Rocky outcrops	0	8,608	-3.0*	-1.92
	Grass land	3	21,398	0.008	0 <sup>#</sup>
	Bushes and shrubs	9	30,188	0.763	0 <sup>#</sup>
	Tea plantation	1	18,825	-0.961	0.782
	Rubber plantation	31	268,773	-0.186	0 <sup>#</sup>
	Crop land	0	1,789	-3.0*	0 <sup>#</sup>
	Cleared/barren area	10	37,416	0.653	0 <sup>#</sup>
<b>Soil Thickness</b>	Built-up land	0	70	-3.0*	0 <sup>#</sup>
	Water body	0	393	-3.0*	0 <sup>#</sup>
	0–1 m	7	69,286	-0.319	-5.113
	1–2.5 m	40	199,009	0.368	-4.787
	2.5–3.5 m	4	101,885	-1.264	-4.968
	> 3.5 m	3	17,280	0.222	0 <sup>#</sup>

	Feature / Class	Landslide (Pixel)	Area (Pixel)	InfoVal weight (ln)	MLR weight ( $\beta$ )
<b>Slope</b>	0-5 <sup>0</sup>	2	74,203	-1.640	-1.594
	5-10 <sup>0</sup>	2	41,107	-1.049	-0.660
	10-15 <sup>0</sup>	2	50,952	-1.264	-0.497
	15-20 <sup>0</sup>	7	56,791	-0.120	-0.004
	20-25 <sup>0</sup>	16	53,984	0.757	1.544
	25-30 <sup>0</sup>	9	44,173	0.382	1.487
	30-35 <sup>0</sup>	4	30,997	-0.074	-2.150
	35-40 <sup>0</sup>	3	19,768	0.087	0.540
	> 40 <sup>0</sup>	9	15,485	1.430	0 <sup>#</sup>
<b>Aspect (0.003)</b>	Flat	1	46,426	-1.864	
	N	9	29,729	0.778	
	NE	2	43,241	-1.100	
	E	3	34,333	-0.464	
	SE	3	33,943	-0.452	
	S	4	43,540	-0.414	
	SW	11	76,299	0.036	
	W	10	51,038	0.343	
<b>Relative Relief (0.008)</b>	NW	11	28,911	1.006	
	0-50 m/km <sup>2</sup>	0	5,403	-3.0*	
	50-100 m/km <sup>2</sup>	0	46,972	-3.0*	
	100-200 m/km <sup>2</sup>	1	96,473	-2.595	
	200-300 m/km <sup>2</sup>	11	71,828	0.096	
	300-400 m/km <sup>2</sup>	20	80,814	0.576	
	400-500 m/km <sup>2</sup>	12	52,808	0.491	
> 500 m/km <sup>2</sup>	10	33,220	0.772		
<b>Plan Curvature (-1.471)</b>	Concave	15	210,426	-0.667	
	Flat	36	168,220	0.431	
	Convex	3	8,814	0.895	
<b>Profile Curvature (-1.091)</b>	Concave	37	216,400	0.207	
	Flat	14	145,762	-0.369	
	Convex	3	25,298	-0.158	
<b>Drainage Density (10.833)</b>	0-2,000 m/km <sup>2</sup> (low)	43	269,458	0.138	
	2,000-3,000 m/km <sup>2</sup> (moderate)	11	101,392	-0.247	7.587
	> 3,000 m/km <sup>2</sup> (high)	0	16,084	-3.0*	0 <sup>#</sup>
<b>Distance from Drainages</b>	0-100 m	22	208,906	-0.277	7.355
	100-200 m	26	117,276	0.466	9.542
	200-300 m	6	45,653	-0.056	7.706
	300-400 m	0	11,475	-3.0*	-12.807
	> 400 m	0	3,624	-3.0*	0 <sup>#</sup>
<b>Lineament Density</b>	0-1,500 m/km <sup>2</sup> (low)	25	218,078	-0.192	4.725
	1,500-3,000 m/km <sup>2</sup> (moderate)	29	153,951	0.303	4.578
	> 3,000 m/km <sup>2</sup> (high)	0	14,905	-3.0*	0 <sup>#</sup>
<b>Distance from Lineaments</b>	0-100 m	7	80,975	-0.474	-0.235
	100-200 m	10	69,288	0.037	1.028
	200-300 m	15	59,410	0.596	0.088
	300-400 m	10	46,820	0.429	0.906
	> 400 m	12	130,967	-0.416	0 <sup>#</sup>

\* arbitrary value as filler for ln

# arbitrary value as filler for  $\beta$

Constant for logistic regression analysis -28.723

The role of geomorphology is very important in conditioning the terrain for denudational processes of the area. Geomorphological features such as side slope plateau (0.512) and denudational hills (0.797) are showing maximum InfoVal weights while in the MLR analysis maximum influence is shown by the denudational hill (8.094) followed by denudational slope, escarpments and side slope plateau, which makes the terrain more susceptible to landslide. The analysis of influence of land use/land cover type in the area towards conditioning the terrain for landslide through the InfoVal technique shows the maximum influence of the class bushes and shrubs (0.763)

followed by cleared/barren area (0.653). The tabulated data of landslide distribution in each class show that the maximum landslide occurrence (57% of the total landslides) was observed in rubber plantation but has shown minimum weightage in the analysis. This is due to the fact that the majority of the area (69%) is covered with rubber plantations, and while considering the area ratio with number ratio, the effect has been brought into a very low value. The classified soil thickness map indicates the maximum values in the class range between 1 and 2.5 m in the InfoVal analysis whereas the multiple logistic regression coefficient for the soil thickness classes shows

negative values, in which the maximum number of landslide occurrence was noticed in the class 1–2.5 m. Five digital elevation derivatives were used to analyse individually to identify the contribution of each of the parameters in making the terrain susceptible to landslide. The assessment of InfoVal weights shows that number of landslides occurred in the slope range of 20–25°, which is considered to be a critical range in the terrain. In the InfoVal method, the aspect was classified into nine discrete classes, and in MLR it was considered as a continuous variable. The slope directional classes, N, SW, W and NW, are showing high values in InfoVal analysis. From the MLR analysis, the impact factor of aspect was derived as 0.003. In the case of relative relief, more number of landslide occurrences was reported above the relative relief class > 300 m/km<sup>2</sup>, and all the class ranges above this show the high value of InfoVal weight. At the same time, in MLR, a value of 0.008 was derived as the weight factor for relative relief. The relationship between terrain curvatures (plan and profile curvatures) and landslide occurrence was also assessed, but this factor had not much influence over landslide occurrence in the region and, therefore, was omitted from the further analysis.

In the study area, most of the landslide initiation locations were observed in association with the mountain streams. In order to rate the influence of drainages on landslide occurrence, both the drainage density and distance from drainages were considered in the analysis. In the InfoVal and MLR analysis, a larger number of landslide occurrences were noticed in the lower drainage density zone and a distance below 200 m from drainages. The weight values for low drainage density zones are 0.138 and 10.833, respectively, for InfoVal and MLR analysis. At the same time, the variable distance from drainages showed maximum InfoVal rating of 0.466 in the range of 100–200 m. The weight factor derived by the MLR analysis also shows maximum value (9.542) in the same class as those shown by the InfoVal analysis. The relationship between lineament density and the previous landslide locations indicates that the low and moderate lineament density zones contain whole the past landslides which occurred in the area with high InfoVal weight for medium landslide density class (0.303), while in the MLR weight maximum value was observed in the low density (4.725) class followed by medium density (4.578). In the case of landslide occurrence points with distance from lineaments, the maximum correlation was observed in the class range between 200–300 m and 300–400 m in the InfoVal analysis (0.596 and 0.429, respectively), and that in the MLR analysis varies to 100–200 m (1.028) followed by 300–400 m (0.906).

## 5 Results and discussion

To evaluate the contribution of each factor towards landslide susceptibility, the existing landslide distribution data layer (54 numbers of landslides) has been compared to various thematic data layers

separately. The number of landslide pixels falling in each class of the thematic data layers has been recorded and weights have been calculated on the basis of InfoVal and multiple logistic regression (MLR) techniques. Weighted thematic maps in raster format were integrated in the raster calculator using the map algebra given in equations (5) and (6) and used for the preparation of landslide susceptibility index (LSI) maps.

$$LSI_{InfoVal} = Geom_{IW} + LULC_{IW} + STk_{IW} + Slp_{IW} + Asp_{IW} + RP_{IW} + PIC_{IW} + ProfC_{IW} + DrDen_{IW} + DrDist_{IW} \quad (5)$$

$$LSI_{MLR} = -28.723 + Geom_{MLR} + LULC_{MLR} + STk_{MLR} + Slp_{MLR} + Asp_{MLR} \times 0.03 + RR \times 0.008 + PIC_{MLR} - 1.471 + ProfC_{MLR} - 1.091 + DrDen_{MLR} + LmDen_{MLR} + LmDist_{MLR} \quad (6)$$

where *Geom* is geomorphology, *LULC* is land use/land cover, *STk* is soil thickness, *Slp* is slope, *Asp* is aspect, *RR* is relative relief, *PIC* is plan curvature, *ProfC* is profile curvature, *DrDen* is drainage density, *DrDist* is distance from drainages, *LmDen* is lineament density, *LmDist* is distance from lineaments, *IW* is InfoVal weights, *MLR* is MLR coefficients, and -28.723 is a constant. Thus, two landslide susceptibility index maps representing the InfoVal and MLR techniques have been produced.

The prepared landslide susceptibility index map for InfoVal technique is found to be varying between -16.86 and 7.46. At the same time, the landslide susceptibility index map generated through the MLR technique shows minimum and maximum value ranges between -46.67 and 14.35. With the higher LSI value susceptibility to landslide will be high while negative and zero values indicate nil to low landslide initiation susceptibility. In order to determine and identify the spatial distribution of different landslide susceptibility zones (LSZ), both the LSI maps were segmented into five representative classes. The segmentation was done by analysing the overall distribution pattern, shape of the cumulative frequency curve of susceptibility index values and applying the field knowledge and expert opinion. Five landslide susceptibility classes representing stable, low, moderate, high and critical landslide susceptible zones (Fig. 5) were derived, and the details are provided in Table 2.

The critical landslide susceptible zone occupies 5.54% and 8.23% of the total area in LSZ maps prepared by InfoVal and MLR techniques, respectively, which denotes the influence of the side slope plateau, denudational hill, slope more than 25° and high relative relief. Highly susceptible zones occupy 26.69% and 19.2% of the total area, respectively, for InfoVal and MLR susceptible maps. These zones are characterised by the area with slope > 25°, relative relief > 300 m/km<sup>2</sup>, denudational hills

and denudational slopes. Other susceptible zones like moderate and low occupy 21.67%, 17.27% and 22.43%, 15.86% of the total area. In this, moderate susceptibility zones also need careful observation and

proper planning while performing any developmental activities. The stable area occupies 28.83% in the LSZ map of InfoVal and 34.18% in LSZ map of MLR technique.

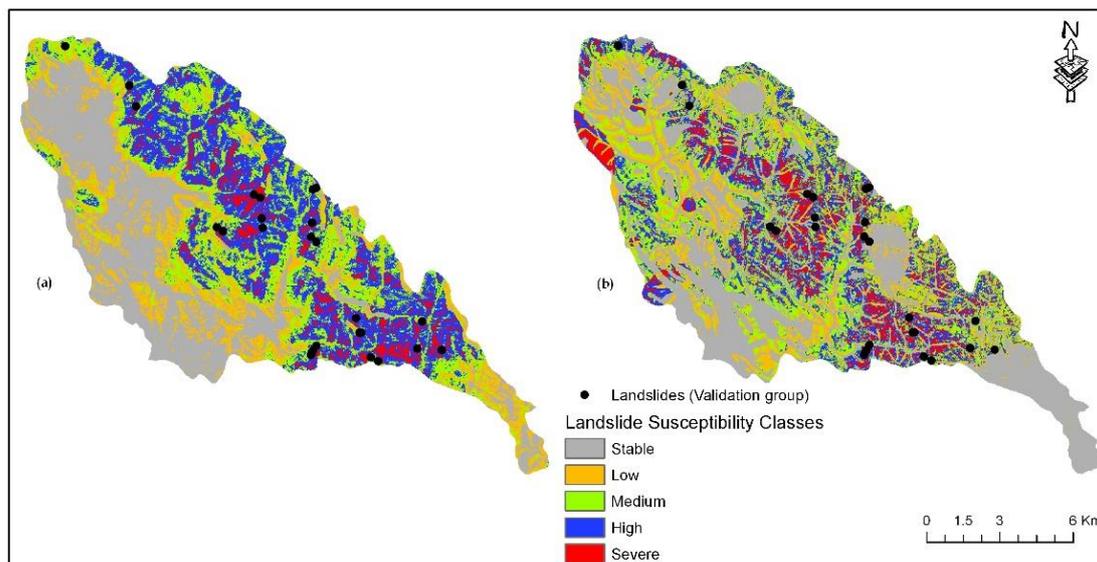


Figure 5. Classified landslide susceptibility zonation (LSZ) maps with validation group of landslides: (a) InfoVal technique and (b) multiple logistic regression (MLR) technique.

Table 2. Area distribution of landslide susceptibility zones (LSZ) with percentage distribution of the validation of group of landslides in each zone.

Landslide Susceptibility Class	Landslide Susceptibility Index Range	InfoVal		MLR		% of Validation group of landslides	
		Area (km <sup>2</sup> )	Area (%)	Area (km <sup>2</sup> )	Area (%)	InfoVal	MLR
Stable	-16 – -6	44.69	28.83	52.97	34.18	0	15.38
Low	-6 – -3	26.76	17.27	24.58	15.86	3.85	11.54
Medium	-3–0	33.58	21.67	34.77	22.43	23.08	11.54
High	0–3	41.37	26.69	29.78	19.21	46.15	50.00
Severe	> 3	8.59	5.54	12.89	8.32	26.92	11.54
		154.99		154.99			

The performance and predictive capacity of the landslide susceptibility zonation maps were tested using the estimation set of landslide location (54 numbers) used for the preparation of landslide susceptibility zonation maps (success rate curves) and a set of validation group of landslides (26 numbers) kept to check the predictive power of landslide susceptibility zonation maps (prediction rate curves) and the suitability of methods in the area. The success rate curve explains how well the model and the factor predict the landslides, and the prediction rate curve indicates the success rate and predictive power of the model in terms of accuracy. In order to estimate the success rate and prediction rate of the models, classified final landslide susceptibility maps were crossed with the estimation and validation group of landslides separately. Based on a given LSZ map, the success and prediction rate curves (Lu and An, 1999; Remondo et al., 2003; Vijith and Madhu, 2007), which represent the cumulative percentage of landslide occurrence in various susceptibility classes in (y-axis) against the cumulative percentage of the area of the susceptibility classes in (x-axis), were

generated. The area under a curve (AUC) was used to measure the prediction accuracy (success rate) of the model qualitatively (Fig. 6a).

The area ratio for the LSZ created by InfoVal techniques is 0.8411 and the success rate of the models is 84.11%. The area ratio calculated for LSZ map generated by multiple logistic regression (MLR) technique is 0.6865, and the success rate of the model is 68.65%. It was also noted that in the success rate curve of InfoVal derived susceptibility map the stable area is devoid of landslide occurrence and the low landslide susceptibility zone contains only 1.85% of the total landslide (1 out of 54). Similarly, in the case of susceptibility map prepared by MLR method, stable zone contains 5.55% (3 out of 54) of landslide occurrence and low landslide susceptibility zone contain 9.25% (5 out of 54) of the total landslide used for the preparation of LSZ maps. This can be considered as the first-order error, and the effect will be very less and hence the result is acceptable. The result indicates the usability of InfoVal technique over the MLR method in LSZ mapping in this region.

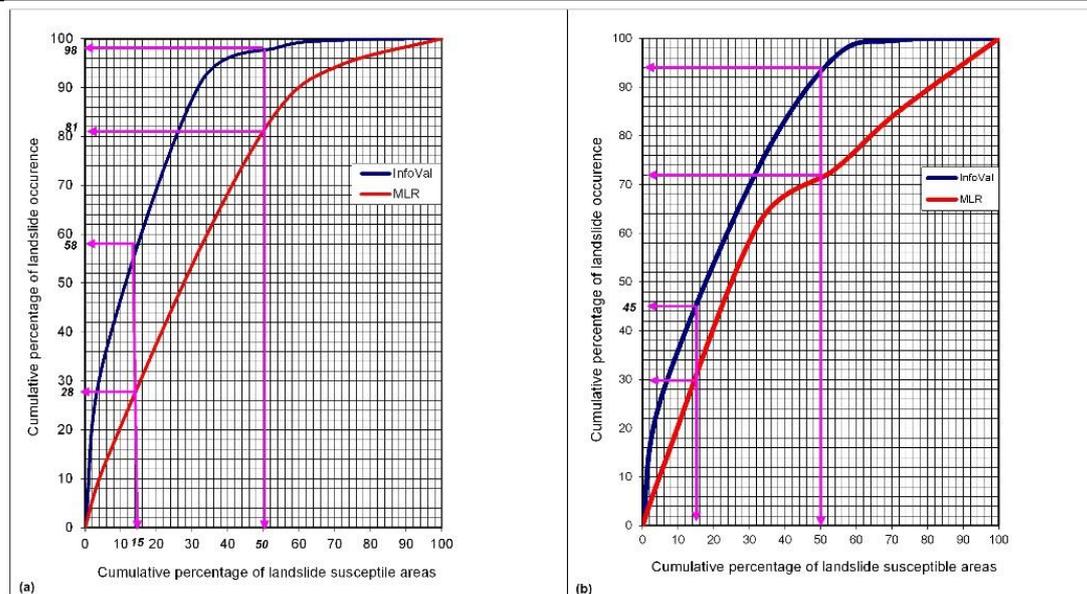


Figure 6. Cumulative frequency diagrams showing percentage of study area classified as susceptible (x-axis) in cumulative per cent of landslide (validation group) occurrence (y-axis): (a) success rate curves; (b) prediction rate curve.

While preparing the prediction rate curve (Figure 6b), it was noted that, the maximum number of validation group of the landslide was occurring in the highly susceptible zone (12 and 13 numbers of landslide events respectively for InfoVal and MLR maps) followed by severe and moderate susceptibility zones. At the same time, the stable landslide susceptibility class in the MLR map shows the presence of 15% of the validation group of landslides, which was considered as wrongly classified. The graphs indicate the capability of the two models to classify the terrain based on the landslide susceptibility and classify the terrain based on proneness to landslide. From the graph, two cases of landslide prediction condition were evaluated for both the LSZ maps. In the first condition, 15% of high landslide susceptible area is capable of accommodating 45% and 30% of total validation group of landslides. In the second condition, 50% of the landslide susceptible areas are able to accommodate 94% and 72% of total validation group of landslides that occurred in the area respectively for LSZ map produced by InfoVal and MLR techniques. In the case of prediction rate curve prepared using the validation group of landslides, the area ratio (area under curve) for the LSZ created by InfoVal techniques is 0.826 and the success rate of the models is 82.60%. The area ratio calculated for LSZ map generated by multiple logistic regression (MLR) technique is 0.648 and the success rate of the model is 64.80%. The first-order errors of the models were assessed quantitatively by counting the occurrence of landslide events in stable and low landslide susceptibility zones. It was observed that, in the InfoVal derived susceptibility map, the stable areas are devoid of landslide occurrence and the low landslide susceptibility zone comprise only 3.85% of

the total landslides (1 out of 26). In the case of LSZ prepared by MLR method, stable zone accounts for 15.38% (4 out of 26) of landslide occurrence and low landslide susceptibility zone accounts for 11.54% (3 out of 26) of the total landslide used for the validation of the landslide susceptibility zonation map. The comparison of the AUC curve and quantitative accuracy of the model shows that the LSZ map produced by the InfoVal technique shows a generally more acceptable accuracy compared to LSZ generated by MLR techniques and is supported by the first order error computed for both the susceptibility maps.

## 6 Conclusions

In this study, it was attempted to evaluate the best suitable methodology for the assessment of shallow landslide initiation susceptibility in the Western Ghats, India, by comparing the bivariate (InfoVal) with multivariate (multiple logistic regression) statistical techniques. Both the techniques used the same dependant and independent variables to analyse and establish the relative importance of landslide conditioning terrain factors. The assessment of statistical importance of landslide conditioning terrain variable and its classes carried out using the different techniques, and it was found that variables such as geomorphology, land use, soil thickness, slope, relative relief, drainage density, distance from drainages and lineament density are influencing landslide susceptibility of the region under study. It was also noted that among the crucial variables identified, selective classes such as side slope plateau and denudational hills with slope greater than  $20^\circ$ , soil thickness less than 2.5 m, high relative relief, low to moderate drainage and lineament density, and

distance near to drainages were marked with a maximum incidence of landslides and have high influence in the landslide susceptibility zonation maps prepared.

The LSZ maps generated by InfoVal and MLR techniques show various dimensions and spatial pattern of different landslide susceptibility zones, in which the severe and high susceptibility zones occupy 5.54%, 26.69% and 8.32%, 19.21% of the total area, respectively for InfoVal and MLR based LSZ maps. The success rate of the proposed models and validation of the LSZ maps produced were assessed through the area under curve technique. In both maps produced, the InfoVal method dominates over the MLR techniques with 84.11% success rate and 82.60% of accuracy. Landslide susceptibility zonation map produced through the MLR method shows 68.65% and 64.80%, respectively for success rate and accuracy. The findings of the study coupled with the assessment of first order errors of the models indicate the dominated applicability, suitability and reliability of the bivariate statistical technique over the multivariate techniques in producing a landslide susceptibility zonation maps and its replicability in terrains having similar geo-environmental and climatic conditions, where the data collection is difficult and historical data records are scarce.

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## Dviejų skirtingų statistinių metodų tinkamumo paviršinių nuošliaužų pradžios jautrumui Vakarų Gatuose matuoti nustatymas

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Siekiant nustatyti nuošliaužų jautrumo įvertinimo statistinių metodų tinkamumą pritaikius geografinės informacijos sistemas (GIS) tuose regionuose, kuriuose duomenis gauti sudėtinga, buvo naudojami informacinės vertės (InfoVal) ir daugianarės logistinės regresijos (MLR) metodai, pagrįsti dviejų ir daugiau kintamųjų statistine analize, paviršinių nuošliaužų pradžios jautrumui pasirinktose vandenskyrose Vakarų Gatuose, Keraloje, Indijoje, nustatyti. Buvo tirti šie skirtingi nuošliaužas lemiantys vietovės kintamieji: geomorfologija, žemės naudojimas ir žemės danga, dirvožemio storis, nuolydis, kryptis, santykinis reljefas, plano kreivumas, profilio kreivumas, drenažo tankumas, atstumas nuo drenažų ir kt. Nuošliaužų jautrumo indekso (LSI) žemėlapiai buvo sukurti integruojant įtaką darančius veiksnius; išskirtos penkios nuošliaužų jautrumo zonos (LSZ) koreliuojant nuošliaužų jautrumo indeksą su bendromis vietovės sąlygomis. InfoVal ir MLR metodais sukurtuose jautrumo žemėlapiuose plotas po sėkmės dydžių kreivėmis (angl. *area under success rate curves*, AUC) parodė atitinkamai 84,11 % ir 68,65 % reikšmes. Prognozuojamo dydžio kreivės rodo gerą/vidutinę koreliaciją tarp nuošliaužų pasiskirstymo rezultatus patvirtinančios grupės ir LSZ žemėlapių su AUC reikšmėmis, atitinkamai nuo 0,648 iki 0,826 MLR ir InfoVal metodais sukurtiems LSZ žemėlapiams. Atsižvelgiant į tai, kas geriausiai tinka, ir į modelių tinkamumą tyrimo vietoje kiekybinio spėjimo tikslumu, LSZ žemėlapiai sukurti InfoVal metodu rodo didesnę tikslumą nei sukurtieji MLR metodu (82,60 %), geriau atspindi tikrovę, kai lyginama praktikoje. Todėl InfoVal metodas laikomas tinkamiausiu modeliu nuošliaužų jautrumui tose vietovėse, kurios panašios į šiame tyrime tirtą vietovę, matuoti. Vietovei sukurtas LSZ žemėlapis gali būti naudojamas regioninio planavimo ir vertinimo procesuose, įtraukiant apibendrintas kritulių sąlygas toje teritorijoje.

Raktiniai žodžiai: *Vakarų Gatai, paviršinės nuošliaužos, informacinė vertė, daugianarė logistinė regresija, jautrumo įvertinimas.*